

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Group Art Unit:

Noriaki SANADA et al

Examiner:

Application No.: New Application

Atty. Dkt. No.: 101136-00126

Filed: May 1, 2006

For: ELECTRON SPECTROSCOPY ANALYSIS METHOD
AND ANALYTICAL APPARATUSINFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

May 1, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08a. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references cited on the attached PTO-SB08a and PTO-SB08b forms were cited during prosecution of a corresponding foreign application. A copy of the search report is attached for the Examiner's information. A copy of the remaining reference listed on the search report is not available at this time. An English-language abstract of the non-English language reference is attached.

Respectfully submitted,



Charles M. Marmelstein
Registration No. 25,895

Customer No. 004372
Arent Fox PLLC
1050 Connecticut Avenue, N.W., Suite 400
Washington, D.C. 20036-5339
Tel: (202) 857-6000
Fax: (202) 638-4810

CMM:mmg

Enclosures: Form PTO-SB08a; Form PTO-SB08b; International Search Report;
References (2)

Under the Paperwork Re
Substitute for form 1449A/PTC

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a

Complete if Known

Application Number	New Application
Filing Date	May 1, 2006
First Named Inventor	Noriaki SANADA et al
Art Unit	
Examiner Name	
Attorney Docket Number	101136-00126

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered; whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Unique citation designation number. *See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two-letter code. *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Ind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 18 if possible. *Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Substitute for form 1442B/PTC

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08b

Complete if Known

Application Number	New Application
Filing Date	May 1, 2006
First Named Inventor	Noriaki SANADA et al
Art Unit	
Examiner Name	
Attorney/Doctort Number	101136-00126

Sheet	1	of	1
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NON PATENT LITERATURE DOCUMENTS

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Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered; whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Unique citation designation number. *See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two-letter code. *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16, if possible. *Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.